



Receipt
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Braj Bhushan LOHRAY, et al.

Serial No.: 10/007,109

Group No.:

Filed: November 19, 2001

Examiner:

For: NOVEL TRICYCLIC COMPOUNDS AND THEIR USE IN MEDICINE,
PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL
COMPOSITIONS CONTAINING THEM

Attorney Docket No.: U 013701-9

Assistant Commissioner for Patents
Washington, D.C. 20231

RENEWED REQUEST FOR CORRECTED FILING RECEIPT

The Response to Request for Corrected Filing Receipt of June 5, 2002, refuses to delete inventors Ramanujam and Chakrabarti from the Filing Receipt of March 22, 2002, without a Petition under Rule 48.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office

Date: June 14, 2002

Signature

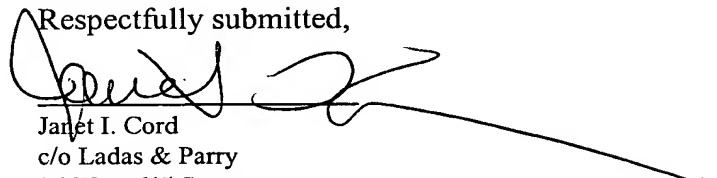
Janet I. Cord
(type or print name of person certifying)

However, this application is a division of 09/448,260 that was filed with a copy of the Declaration thereof together with a statement from the applicant's Attorney of Record requesting the deletion of inventors Ramanujam and Chakrabarti. This is the filing procedure described at MPEP 201.06(c) (page 200-37) and, therefore, no Petition should be required.

It is of no purpose to allege now any defect with the procedure in this regard in view of the Filing Receipt of January 4, 2002, that omitted inventors Ramanujam and Chakrabarti according to the correct filing procedure used in this case. If the Filing Receipt of January 4, 2002, had not included another error for which correction had to be requested January 14, 2002, no question of Petition would have arisen.

Copies the Statement (Added Pages page 4 of 5 of November 19, 2001) and the names of the inventor's to be named (New Application Transmittal of November 19, 2001) are attached.

Respectfully submitted,



Janet I. Cord
c/o Ladas & Parry
26 West 61st Street
New York, New York
Reg. No. 33778
Tel. No. (212) 708-1935

Practitioner's Docket No. U 013701-9

PATENT



Preliminary Classification:

Proposed Class:

Subclass:

NOTE: "All applicants are requested to include a preliminary classification on newly filed patent applications. The preliminary classification, preferably class and subclass designations, should be identified in the upper right-hand corner of the letter of transmittal accompanying the application papers, for example 'Proposed Class 2, subclass 129.'" M.P.E.P. Section 601, 7th ed.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

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00140

PATENT TRADEMARK OFFICE

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): 1. Braj Bhushan LOHRAY; 2. Vidya Bhushan LOHRAY;
3. Ashok Channaveerappa BAJJI; 4. Shivaramayya KALCHAR

WARNING: 37 C.F.R. Section 1.41(a)(1) points out:

"(a) A patent is applied for in the name or names of the actual inventor or inventors.

(1) The inventorship of a nonprovisional application is that inventorship set forth in the oath or declaration as prescribed by Section 1.63, except as provided for in Section 1.53(d)(4) and Section 1.63(d). If an oath or declaration as prescribed by Section 1.63 is not filed during the pendency of a nonprovisional application, the inventorship is that inventorship set forth in the application papers filed pursuant to Section 1.53(b), unless a petition under this paragraph accompanied by the fee set forth in Section 1.17(l) is filed supplying or changing the name or names of the inventor or inventors."

For (title): **NOVEL TRICYCLIC COMPOUNDS AND THEIR USE IN MEDICINE; PROCESS FOR THEIR PREPARATION AND PHARMACEUTICAL COMPOSITIONS CONTAINING THEM**

CERTIFICATION UNDER 37 C.F.R. 1.10*

(Express Mail label number is mandatory.)

(Express Mail certification is optional.)

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the United States Postal Service on this date NOVEMBER 19, 2001, in an envelope as "Express Mail Post Office to Addressee", mailing Label Number EV01019192US, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

CONNIE VANNOTTI

(type or print name of person mailing paper)

Connie Vannotti
Signature of person mailing paper

WARNING: *Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.*

***WARNING:** *Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).*

"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

B. ☒ Conditional Petition for Extension of Time in Prior Application

(complete this item, if previous item not applicable)

☒ A conditional petition for extension of time is being filed in the pending prior application.

☐ A copy of the conditional petition filed in the prior application is attached.

C. ☐ No extension is necessary in Prior Application

☐ Issue Fee paid _____

20. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

(complete applicable item (a), (b) and/or (c) below)

(a) ☒ This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are

☐ the same.

☒ less than those named in the prior application. It is requested that the following inventor(s) identified for the prior application be deleted:

(type name(s) of inventor(s) to be deleted)

(b) ☐ This application discloses and claims additional disclosure by amendment and a new declaration or oath is being filed. With respect to the prior application, the inventor(s) in this application are

☐ the same.

☐ the following additional inventor(s) have been added:

(type name(s) of inventor(s) to be added)

(c) ☐ The inventorship for all the claims in this application are

☐ the same.

☐ not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made

☐ is submitted.

☐ will be submitted.